

# APPLICATION OF BIOFILM REACTOR TECHNOLOGY FOR BIOPRODUCTION: A CLOSER LOOK

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# Abstract

Applications of customized biofilm reactors have markedly enhanced the productivity of different bioproducts. Implementation of novel concepts in designing of cost effective, durable and commercially scalable substrata has proven their positive impacts on the product features. To make such approaches more generalized in biofilm reactor technology, it is important to highlight the factors that decisively act on the compatibility between microorganisms and solid supports used for different bioproducts. The contents of the review have been strongly oriented towards the broader application of substrata for many bioproducts. Correlations between the variations in the product features and biofilm associated factors have been highlighted. Plastic composite support has been given a special attention. Some of the thermodynamic and interface properties of microorganisms and substrata have been considered. Role of extended Derjaguin, Landau, Verwey, Overbeek theory in assigning the parameters for substrate selection has been discussed. The influence of water structure on the formation of biofilm, and quantitative analysis of physical factors namely adhesion energy, contact angles and primary/secondary minima in selection of substrata have been well addressed. The key issues taken into the consideration and suggestions made in context of the present review can further aid in the customization of biofilm reactor technology.

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# Keywords

Biofilm reactors, plastic composite support, customization, XDLVO theory, adhesion energy, water structure.

# INTRODUCTION

Biofilm reactor (BR) technology has found wider applications in the production of different bioproducts (fine and bulk chemicals, biofuels, organic acids and biomolecules) and wastewater (industrial and municipal origin) treatment processes (Cheng et al., 2010; Qureshi et al., 2005; Wang and Sang, 2009; Straathof et al., 2002; Lazarova and Manem, 1995, 2000; Martin and Nerenberg, 2012). The biological means of wastewater management dates back to 1940, when trickling filters were first introduced at industrial scale in UK (Mishra and Sutton, 1991). In the practice of wastewater engineering, design and operation of trickling filters are now well established (Metcalf and Eddy, 1991). Both fixed- medium system and moving-medium system based BRs have been successfully applied in wastewater treatment for various purposes. In particular, rotating biological contactors (RBC) are the most widely and effectively used moving-medium system type BRs in

wastewater treatment (reduction in the level of chemical oxygen demand (COD)/biological oxygen demand (BOD) and nitrification/denitrification) (Kargi and Dincer, 1999; Kargi and Ekar, 2001; Gonec and Harremoes, 1985). Since the first commercial-scale application of Biofilm Fluidized Bed (BFB) in the mid-1970s in USA, particulate BRs of different configurations (biofilm upflow sludge blanket, biofilm fluidized bed, expanded granular sludge blanket, biofilm airlift suspension, and internal circulation reactors) have been designed and experimented for lab and large scale wastewater treatment processes in the last two decades (Metcalf and Eddy, 1991). Metabolic efficiencies of different microbial strains has faciliated the use of wide spectrum of cheap and renewable carbohydrate sources in the production of biofuels employing BR technology. Effluents from dairy industries have been utilized as alternative substrates for butanol and acetone-butanol-ethanol production (Jones and Wood, 1986). The newly emerged plastic composite support

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(PCS) technology has successfully designed substrata for biofilm development utilizing agro based waste products (Kunduru and Pometto, 1996). Moreover, industrial waste gases (organic and inorganic) can also be utilized as sources of energy or carbon for microbial metabolism (Ottengraf, 1987).

Novel concepts are being introduced to meet the challenges of limiting parameters of biofilm reactor based production and this has led to the custom designing of BRs (Wood et al., 2001). In addition, extensive molecular study on biofilms of different microbial origin has technically revolutionized the designing of BRs. Concept of designing an air-membrane surface (AMS) bioreactor for the production of secondary metabolites (bacitracin and a red pigment) by Bacillus licheniformis strain EI-34-6 is an ideal example of BRs configuration based on molecular aspects of biofilms. The bacitracin molecule played a role of biofilm-specific inducer causing the formation of biofilm at air-membrane interface (Yan et al., 2003).Customization of rotating disc biofilm reactor (RDBR) is another such approach made for Streptomyces sp. MS1/7 for the production of antimicrobial compounds (Sarkar and Mukherjee, 2010). The basic outcome of the newly emerged BR technology is the exploitation of microbes for quality enhancement of different products.

However, designing of biofilm reactor and optimization of process parameters seems to be suffering from narrowing down its application. The extent to which the advantages/disadvantages can be generalized for a particular biofilm reactor must be addressed properly. For biofilm forming microbes, change in external stimuli causes drastic physiological, spatial and structural changes of the concerned biofilm. As any alternation in the biofilm directly influences the overall performance of a biofilm reactor, the pros and cons can be predicted for an application of different BRs for a particular product provided that the quality controlling factors are kept optimum. Present review encompasses most of important BR technology based bioproducts for analyzing pros and cons of the contemporary production processes and emphasizes on the need of further customizations and generalizations of the techniques.

### 2. Biofuel

## 2.1. Ethanol

Production of ethanol either with *S. cerevisiae* or *Z. mobilis* in BRs of different configurations has been very productive (Table 2). However, at production and compatibility levels, *Z.mobilis* has proven to be more efficient than *S. cerevisiae*.

### 2.1.1. Ethanol production and S.cerevisiae

The ability to form biofilm by *S.cerevisiae* and its responses under different culture conditions affects productivity of ethanol. In broader sense, adaptability of the yeast to technically different BRs is controlled by all those factors that can cause functional or structural changes of the biofilm. Reynold and Fink (2001) in their investigation on molecular aspects of biofilm formation by S.cerevisiae, traced out the key factors influencing the establishment of biofilm on a substratum. These factors and their role in favouring or disfavouring the biofilm formation have been tabulated in Table3. Glucose concentration vs. adherence ability, controlling over expression level of the yeast gene FLO11, role of nitrogen concentration in determining the phenotype (multicellular pseudohyphae or mat type) of biofilm, importance of sliding motility, Ploidy condition and possible exploitation of the mutant strain flo 11  $\Delta$  have been well elaborated in the article. This information is important to justify and explain the adoption of a new biofilm reactor technology of ethanol production employing S.cerevisiae. Emphasis should be given to sticking to the basic principles of biofilm formation for a microorganism used in fermentative biology while designing a model biofilm reactor.

# 2.1.2. Ethanol production and Z.mobilis

Z. mobilis is an ethanologenic and biofilm forming bacterium. Kunduru and Pometto (1996) demonstrated that Z. mobilis biofilms could be used in ethanol fermentation [Table 2]. However, formation and morphology of the Z. mobilis biofilms were not characterized in this study. The major findings on the different aspects of the biofilm of this bacterium were revealed by Li et al. (2006). They found that Z. mobilis cells are capable of forming a biofilm comprised of microcolonies with an average thickness of 20µm embedded in extracellular polysaccharide (EPS) and interspersed with open water channels. The experiment was carried out in a hydrophobically treated 3-mm glass beads packed biofilm reactor. Although the study was undertaken to examine the potential of surface-associated biofilms for biotransformation of chemicals into value-added products and benzyldehyde tolerance taking Z.mobilis as model organism, the findings can be implemented for different biofilm reactor based ethanol production. The authors mentioned about the possible role of alternation in gene expression resulting in physiological and/or structural changes during biofilm formation making the biofilm more resistant to benzyldehyde. For ethanol production, Z.mobilis has been well exploited using packed bed reactor (PBR), fluidized bed reactor (FBR) and expanded bed BRs [Table 2]. The different substrates used favored the establishment of Z.mobilis biofilms. The high ethanol tolerance level enhanced productivity of ethanol in plastic composite support (PCS) and high amenability to genetic manipulation of Z.mobilis becomes more understandable if considered as biofilm regulated processes.

# 2.2. Butanol

Compared to ethanol, application of different BRs for the production of butanol using either *Clostridium acetobutylicum* or *Clostridium beijerinckii* is more confined. PBR in particular, has been explored with different substrata resulting in marked variation in the productivities of butanol (Table 4). Bonechar has been found to be the best adsorbent for *C.acetobutylicum*. Due to the high compatibility between the innate properties of the organism and the substratum,

#### **Biofilm reactor type Conventional** or **Products** Common Ref **Customized BR** advantages type and Eker. Trickling bed reactor Acetic acid, Hydrogen Kargi High cell 1999; Hekmat et (TBR) Conventional type concentration and Packed bed Dihydroxyacetone, Benzylalcohol. productivity. al., 2007, Li et al., reactor (PBR) Ethanol, Butanol, Acetone+butanol, 2006; Qureshi et al., 2004; Krug and Ethanol+Butanol+Aetone, Daugulis, Poly(3-hydroxubutyrate), 1983: Lactic Oureshi acid, Succinic acid and Maddox. 1987. Fluidized bed reactor Ethanol, Citric acid 1998; Welsh et al., (FBR) Airlift reactor (ALR) Cephalosporin C, 1987; Zhang et al., 2004; Ho et al., Membrane bed reactor Cellulose 1997: Urbance et (MBR) al. 2003, 2004: Rotating disc contactor Fumaric acid, Citric acid, Lactic 33.Weusterbotz et (RDC) acid. al., Sanroman et al., 1996: Srivastava and Kundu, 1999; Cao et al., 1996; Wang, 2007; Tay and Yang, 2002 Customized type Halan et al., 2010; Substrate/product Membrane aerated Styrene-oxide biofilm reactor (MABR) solubility Gross et al., 2010; and toxicity can Cotton et al., 2001; be overcome. Cheng et al., 2010; Yang et al., 2003; Sarkar and Segmented flow biofilm Mukherjee, 2010; [(S)-styrene oxide] Direct oxygen transfer, no mass Jeremiasse et al., reactor (SFBR) transfer barrier and 2010; Logan et al., 2008; Rabaey et al., no excessive biofilm 2010; Cheng et al., growth. 2009: 49.Steinbusch, Solid support Enantiopure (S)-styrene oxide High et oxygen al., 2010; Nevin et membrane-aerated transfer rate al., 2010. biofilm reactor (SMABR) Lactic acid. Bacterial cellulose. Shortened the Plastic composite support biofilm reactor Pullulan product formation (PCSBR) lag phase Rotating disc biofilm Bacitracin, Other anti-microbial Highly mimicking of reactor (RDBR) the natural compounds environmental growth conditions Electro-active biofilm Biohydrogen, H<sub>2</sub>O<sub>2</sub>. Methane. Can be combined to Ethanol, Caustic soda, multi-carbon reactor (EABR) a microbial fuel cell

organic compounds

(MFC)

# Table 1: Application and common advantages of conventional and customized BRs in the production of different bioproducts.

BR type	Year of Reporting	Maximum productivity obtained (g l <sup>-1</sup> h <sup>-1</sup> )	Organism used	Substratum	Ref
Expanded bed	1982	105	Zymomonas mobilis	Vermiculite	Bland et al., 1982
PBR	1982	28.6	Saccharomyces cerevisiae	Sugarcane bagasse	Tyagi and Ghose, 1982
PBR	1983	27.5		Ceramic rods	Chung and Park, 1983
PBR	1983	135.8	Zymomonas mobilis	Resin	Krug and Daugulis, 1983
FBR	1990	100		Coke-particles	Dempsey, 1990
PBR	1996	374       148	Zymomonas mobilis Zymomonas mobilis + Streptomyces viridosporus (T7A)	PCS + 25% various agricultural materials and	Demirci et al., 1997; Kunduru and
		190	Saccharomyces cerevisiae + Streptomyces viridosporus (T7A)	nutrients	Pometto, 1996a, 1996b
		40	Saccharomyces cerevisiae		
PBR	1997	30	Saccharomyces cerevisiae	PCS + 50% various agricultural materials and nutrients	
FBR	2004	2.21(continuous ) 0.28-0.90 (batch)	Escherichia coli FBR-5	Clay brick particles	Qureshi et al., 2004
EABR	2010		Mixed cultures		[50]

# Table 2: Performances of different BRs in the production of ethanol.

Table 3: Saccharomyces cerevisiae associated molecular factors influencing the production of	ethanol and also showing
the probabilities on the application of different BR types.	

BR type	Use for	Comments	Fundamental factors affecting the biofilm	Ref
p	ethanol		formation by Saccharomyces cerevisiae.	
	production			
TBR	Not used.	May be used, as	1. Glucose concentration: Low glucose	
		the nutrient	concentration favors adherence to plastic	
		deficient may	surfaces like polystyrene, polypropylene	Renolds
		induce phenotypic	and polyvinylchloride. Complete absence	and
		changes in the	of glucose retards the adherence.	Fink,
DDD		biofilm.	<b>2.</b> Genetic factors: (a) FLOII, a gene	2001.
PBK	Mostly	Hydrophobicity	required for the production of a cell surface	
	usea.	and sliding	filementous growth (multicellular	
		the biofilm	nseudohymbae) and mat formation Flo11n	
		formation	favours hydrophobicity	
FBR	Used	More surface area	(b) FLO8, a gene that encodes a	
I DK	Osed.	on the used	regulatory protein required for FLO11	
		particles helped in	expression.	
		better adherence	3. Ploidy condition: Haploid and Diploid	
ALR	Not used.	Difficulties may	cells do not adhere to plastic supports, but	
		arise in	round yeast-form cells do only.	
		maintaining the	4. Nitrogen Concentration: S. cerevisiae	
		sliding motility	switch from round yeast-form to	
		and	filamentous growth under nitrogen starved	
		hydrophobicity is	condition.	
		not favoured	5. Shaing Would be required for feduced	
MBR		Hydrophilic as	thus increases hydrophobicity. Expression	
		well as	level of Flo11n controls the phenomenon	
		membranes	6. Mutant Strain: flo 11 Å is an isogenic	
		support the growth	strain lacking FLO11 gene. It prefers	
		and thus biofilm	hydrophilic surface for adherence.	
		formation.		
RDC	Not used.	Hydrophilicity is		
		favored so the		
		mutant strain flo		
		11 $\Delta$ can be		
		employed.		
MABR		Not preferred for		
		anaerobic		
SEDD		processes.		
SFBK				
SMABR				
PCSBR	Used	Polypronylene		
TODIC	0.500.	supported the		
		biofilm formation.		
RDBR	Not used.	Not preferred for		
		anaerobic		
		processes.		
EABR	Used	Electrode surfaces		
		(anode/cathode)		
		supported the		
		biofilm formation.		

Organism used	BR type	Substratum	Productivity	Comments	Ref	
			$(gL^{-1}h^{-1})$			
C.acetobutylicum	PBR	Beechwood	1.53	Control over	Qureshi et al.,	
		shavings		substratum	2005; Qureshi	
		Whey permeate	4.5	roughness, hydrophobicity, porosity and expression level of	roughness, and Ma	and Maddox,
		Coke	1.2		1987; Welsh et	
		Bonechar	6.5		al., 1987; Napoli	
		Glass beads	0.93	the cell surface	et al., 2010;	
		Glass wool	0.30	protein moieties rich	Forberg and	
		Polypropylene	0.58	in hydrophobic	Haggstrom, 1985	
		tow		further enhance the		
		Stainless steel	0.15	productivity.		
		wire balls		1 5		
		Tygon <sup>®</sup> rings	4.4			
	Membrane cell		6.5			
	reactors					
	FBR		1.65	Hydrophobicity and	Qureshi et al.,	
C. beijerinckii	PBR	Clay brick	15.8	large surface area of	2000	
				the particles favored		
				formation of the		
				biofilm.		

# Table 4: Production summary of butanol obtained with different BRs, employing Clostridium acetobutylicum or Clostridium beijerinckii and justification for their respective performances.

# Table 5: Performances of different microorganisms, substrata and BRs used in the production of different organic acids and comments made against variations in the productivities.

Organic	BR type	Organism	Productivity	Substratum	Comments	Ref
acid			(gl <sup>-1</sup> h <sup>-1</sup> )	used		
Lactic	FBR	Streptococcus	12	Activated	PBR with	Ho et al.,
acid		thermophilus		carbon	PCS can be	1997; Tay and
	PBR	Streptomyces	13 (gl <sup>-1</sup> )	Polypropylene +	the best	Sang, 2002,
		viridosporus T7A +		soy	choice	Cotton et al.
		Lactobacillus casei		hulls-zein (25%		2001; Demirci
				w/w)		et al. 1993a,
		Lactobacillus casei	102	PCS		1993b, 1995
	ALR	Rhizopus oryzae	104.6 (gl <sup>-1</sup> )	Mineral support		
				+		
				5 ppm		
				poly(ethylene		
				oxide)		
	ALR	Rhizopus oryzae		Polyurethane		
				foam cubes		
	Rotating	Rhizopus oryzae	60	Fibrous matrix		
	fibrous					
	bed					
	PBR	Lactobacillus	9	Grid-like		
		Casei subsp.		orientation PCS		
		rhamnosus		biofilm reactor		
		Lactobacillus casei	7.6	PCS		
Acetic	Multistage	Acetobacter aceti M7	4.3		MABR,	Park and
acid	shallow				SMABR,	Toda, 1992
	flow				SFBR can be	
	biofilm				explored.	
	reactor					
	TBR	Acetic acid bacteria	1.67	Beechwood		
				shavings		
Citric	FBR	Aspergillus niger	0.13	Polyurethane	PCS can be a	Ricciardi et
acid				foam (PUF)	better choice	al., 1997;
			0.11	Polyurethane	to PUF.	Sanroman et
				foam particles		al., 1996;
	RDC	Aspergillu niger	0.9	Plastic discs +		Wang, 2000.
				PUF		
Fumaric	RDC	Rhizopusoryzae	4.25	Polysulfone	SMABR can	Cao et al.,
acid				Plastic discs	be explored	1996
	CSTR	Rhizopus oryzae	0.9			
Succinic	PBR	Actinobacillus	2.08	PCS	No correlation	Urbance et al.
acid		succinogenes			between	2003, 2004
		_			biofilm	
					formation and	
					succinic acid	
					production	
					was	
					observed.	

# Table 6: Different types of antibiotics and MAbs produced employing BR technology and comments made against variations in the productivities.

Antibiotic/A ntibody	BR type	Organism /Cells	Substratum	Productivity (gl <sup>-1</sup> h <sup>-1</sup> )	Comments	Ref
Penicillin	FBR (steady state analysis)			Theoretical development	Complete- mixed contacting pattern resulted in higher specific productivity.	Park and Wallis, 1984
	Inverse fluidized bed bioreactor (IFBBR)	Penicillium chrysogenum	Expanded polystyrene in the form of beads	$5.79 \times 10^{-4}$ g (g (biomass) <sup>-1</sup> .h <sup>-1</sup>	IFBBR favored more production	Ramsay et al., 1991
	CSTR	Penicillium chrysogenum	Agar beads	0.026	2.5 times more stable product	Swarooparani et al., 2003
	ALR	Mutant Penicillium chrysogenum P2	Celite		Overcame the problem of the free cell mass	Keshavarz et al., 1990
Penicillin-G	FBR	Penicillium chrysogenum	Celite R-630 K-carrageenan beads	0.11 g Pen-G (K+)/g lactose 1.2 mg/g cells/h		Jones et al., 1986; Deo and Gaucher, 1984
Cephalospori n C	FBR	Cephalosporim acremonium	Celite particles	Production was improved by 1.9		Park and Seo, 1998
	ALR	Cephalosporim acremonium	Siran particles, Silk sachets, Pellets	Specific Productivity: 180% 150% 125% (as compared to 100% for free cells)	Immobilization modes exhibited enhanced volumetric oxygen transfer coefficient	Srivastava and Onodera, 1998
Nisin	PCSBR	Lactococcus lactis	PCS tubes attached on the agitator Shaft.	4,314 U/mL		Pongtharangku 1 and Demirci, 2006c
Monoclonal antibody (IgG2b)	Fibrous-bed bioreactor (FBBR)	Hybridoma HD-24 cells	Fibrous matrix	7 mg/h.l	Productivity was about 23 times higher to flask cultures	Zhu and Yang, 2004
MAb	FBBR	Hybridoma cells	Non-woven polyester fibrous matrix	6.5	Highly porous fibrous matrix was advantageous	Yang et al., 2004
Anti-digoxin MAb	PBR	Mouse hybridoma cell	Fibra-Cel	116-120 microg/day per ml	Continuous- feeding mode was more efficient for large-scale MAb production than a batch culture.	Golmakany et al., 2005

Enzyme	BR/Substratum	Organism	Productivity	Comments	Ref
	type used				
Cellulase	Woven nylon pads	Aspergillus terreus	453 U/ml	Designs of	Hui et al.,
	Spouted-bed	Trichoderma	24.7–31.5 U	the biofilm	2010; Webb
	reactor	viride (QM9123)		reactors are	et al., 1986;
	Draft-tube airlift	Trichoderma reesei	200 U l <sup>-1</sup> h <sup>-1</sup>	highly	Ahamed and
	bioreactor	<i>RUT-C30</i>		innovative	Vermette,
					2010;
Tagatose	PBR	Escherichia coli cells	2.9 g/L.h		Jung et al.,
		containing Geobacillus			2005
		stearothermophilus l-			
		arabinose isomerase			
		mutant (Gali 152			
Lignin	Hollow fiber	Phanerochaete			Venkatdari
peroxidase	reactor and	chrysosporium			and Irvine,
(LiP)	silicone membrane				1993; Linko,
	reactor				1992
	Nylon web	P. chryosporium	2430 U/L		
Lignin	PCS tubes	P. chryosporium	50, 63 U/L		Khiyami et
peroxidase/	attached				al., 2006
manganese	on the agitator				
peroxidase	shaft				
Manganese	FBR and fixed bed	P. chryosporium			Moreira et al.,
peroxidase	bioreactors with				1998
(MnP	gas pulsation				
Amylase	Silicone foam	E.coli	15-28 U		Oriel, 1988

# Table 7: Different types of enzymes and their productivity profiles obtained employing BRs..

# Table 8: Different types of microbial polysaccharides obtained employing BRs.

Polysaccharide	BR/Substratum type	Organism	Productivity	Ref
	used			
Pullulan	PCS tubes attached	Aureobasidium pullulans	32.9–60.7 g/l	Chen et al.,
	on the agitator shaft			2010
	PCS	A. pullulans	1.33g/l/h	Cheng et
				al., 2011
	PCS (surface response	A. pullulans	60.7g/l	Cheng et
	methodology approach)			al., 2010
Cellulose	PCS tubes attached	Acetobacter xylinum	7.1 g/l	Cheng et
	on the agitator shaft			al., 2009
Xanthan	Centrifugal packed-bed	Zymomonas campestris	3 g <sup>/</sup> /l/h	Yang et al.,
	Reactor (CPBR)			1996
	FBR (Celite particles)	Z. campestris		Robinson
				and Wang,
				1985

formation of the biofilm was more favored compared to other used substrata. It has been claimed that bonechar has the shear force resistance due to its high porosity and roughness and it is hydrophobic in nature (Qureshi et al., 2005; Qureshi and Maddox, 1990). Microbial cells can escape from the detrimental effects of shear forces as shear forces are very low inside pores. By chemical composition, bone char is mainly calcium phosphate. Microbial cells grown in phosphate rich nutrient have a higher tendency to flocculate and adhere due to their increased hydrophobicity, while the cells depleted in phosphate are more hydrophilic and less likely to adhere (Bucks et al., 1998). The phosphate present in the structure might also aid in maintaining a high degree of hydrophobicity on the surfaces of bonechar and *C.acetobutylicum*. The inherent properties of bonechar make it a recognizable adsorbent for common application in biofilm reactor. However, it's not been in common practice for application in PBR reactors. For different products, role of bonechar in the variation of productivity can be evaluated in PBR, provided the reaction parameters are set at optimum conditions for each product. As production of butanol is manipulated at genetic level, efforts can be made to make C.acetobutylicum more adaptable to bonechar. Productivity of butanol was enhanced in FBR by more than two fold than in PBR with bonechar. This encouraged the researchers to scale up the FBR technology to pilot plant level for mass production of butanol (Qureshi et al., 2005). Introduction of bonechar into the FBR set up of butanol production after making necessary morphological changes can further enhance the productivity level.

### 3. Organic acids

Organic acids viz. lactic acid (LA), acetic acid (AA), citric acid (CA), fumaric acid (FA) and succinic acid (SA) have been produced using different BRs (Table5). Conventional BRs such FBR, PBR, airlift reactor (ALR), rotating disc biofilm reactor (RDBR), stirred tank reactor (STR) and trickling bed reactor (TBR) have proven to be more productive over their respective suspension cell reactors. Based on the organism, substratum and the type of BRs being employed, productivity of a particular organic acid varied. In general, PCS exhibited better productivity along with technical feasibility for scaling up to pilot plant level. Customization of PCS in its texture or blending imparted better adaptability for application in PBR and FBR for LA production. The aqueous solution of ethanol in contact with air and under the influence of LA bacteria produces LA. The two phase (organic and aqueous) system and need of high oxygen rate transfer makes production of LA ideal for recently developed customized membrane biofilm reactor. Solid support membrane-aerated biofilm reactor (SMABR) and slug flow biofilm reactor (SFBR) are the modern BRs supporting production under aerobic conditions. In the production of CA, FBR and RDC have been explored using polyurethane foam (PUF) as supporting material for biofilm growth. As RDC is preferred for aerobic strains, it resulted in better productivity of CA over FBR. For FA, RDC increased the productivity by many folds over STR. This again encouraged researchers to go for the aerobic process supporting modern BRs, such as SMABR. Productivity of SA was highly influenced when shifted from suspended cell fermentation to PBR with PCS. However, for comparative statement on performance, application of more BRs for SA production is required.

# 4. Antibiotics & Monoclonal Antibodies

Application of different BRs in the production of antibiotics has been explored for a limited number of targeted molecules. Penicillin and its derivative Penicillin-G, new generation antibiotic Cephalosporin-C and the only FDA approved bacteriocin Nisin, have been the prime choice of researchers so far (Table 6). Conventional type BRs such as FBR, ALR and STR are in common practices for the production of these antibiotic molecules. Penicillin has also been produced in the new concept based inverse fluidized bed bioreactor (IFBBR). Production of Nisin was greatly enhanced by the introduction of PCS concept.

The production of single antigen specific monoclonal antibodies (MAbs) from hybridoma cells have also been carried out in BRs. Hybridoma cells are immobilized on different matrices to reach a highly viable and productive cell density. FBBR has been the common choice for MAbs production. Non-woven polyester matrix being highly porous is very efficient in mass transfer, supported the adhered cells for a long time and thus enhanced the productivity of MAbs compared to the entrapment method that employed Fibra-Cel as supporting matrix.

#### 5. Enzymes

The inherent enzyme producing property of many microbes has been positively manipulated employing a biofilm reactor set up. However, list of the targeted enzymes is very short (Table 7). Application of the innovative biofilm systems for long term growth of the fungus, *P. chryosporium* resulted in more productivity of the two extracellular ligninolytic enzymes (LiP and MnP). *Trichoderma* species also exhibited good adaptation to different substrata for biofilm development and caused more productivity of cellulase compared to suspension cell cultures. Tagatose and amylase production were also enhanced under the biofilm reactor conditions. More studies are required to encompass all the basic elements supporting the optimum growth of biofilms and finally designing of a biofilm reactor with the scope of scaling up to pilot plant level for the enzyme of interest.

# 6. Microbial Polysaccharide

Application of BRs for the production of microbial polysaccharides has been sparsely experimented. Pullulan, cellulose and xanthan are the three microbial polysaccharides explored using BR technology (Table 8). A detailed description on the progress of pullulan production has been well reviewed (Cheng et al., 2011). In the production of cellulose, application of PCSBR enhanced the productivity. Production of xanthan was attempted in FBR using Celite particles. Centrifugal packed-bed reactor (CPBR) markedly enhanced production of xanthan.

# 7. Lacunae in the present knowledge / understanding of BR technology

### 7.1. Selection of solid support

The whole process of biofilm formation is an outcome of the complicated bio-physicochemical interactions between the microbial surfaces and the solid supports (Fig 2). However, the phenomenon of "biofilm formation" by microorganisms on a solid support follows the same basic principles in the form of some quantitative physical factors (contact angles, free energy of adhesion, total energy of interaction) originating from the close interactions between solid supports and microorganisms. These factors predict the suitability of a solid support for a particular microorganism. Irrespective of any biofilm forming microorganisms, type of BRs, customization of solid support and the product features of a bio-product, these physical factors can never be compromised. High precision in the calculation of these physical factors and their proper analysis would provide a better scientific backdrop support in the selection of a novel solid material for biofilm formation.

Van Oss et al. (1986) suggested that microbial adhesion to a solid support follows extended XDLVO (Derjaguin, Landau, Verwey, Overbeek) theory. This theory is based on the attractive Lifshitz van der Waals (LW), electrostatic double layer (EL) and short-range Lewis acid–base (AB) interactions between microorganisms and substrata. The polar AB component is the result of hydrogen bonding between two surfaces immersed in a polar solvent (e.g., water). XDLVO approach is more precise in quantifying the interaction energy in order to predict the adhesion. According to XDLVO theory, the total free energy of interaction is expressed as:

$$\Delta G^{\text{TOT}(\text{XDLVO})} (mJ/m^2) = \Delta G^{\text{LW}} + \Delta G^{\text{AB}} + \Delta G^{\text{EL}}$$
(1)

The total interaction energy is evaluated as a function of the minimum equilibrium cut-off distance  $(y_o)$  between the interacting surfaces. At this distance physical contact is possible between two interacting flat surfaces and generally a value of  $0.158 \pm 0.009$  nm is assigned (Speranza et al., 2004). The distance is also considered as the van der Waals boundaries between the non-covalently interacting molecules which signify the distance between the outer electron shells. Van Oss et al. (1986) mathematically expressed all the three components ( $\Delta G^{LW}$ ,  $\Delta G^{AB}$  and  $\Delta G^{EL}$ ) contributing to the calculation of  $\Delta G^{TOT(XDLVO)}$  per unit area in terms of  $y_o$ . The equations are presented as follows:

$$\Delta \mathbf{G}_{\gamma_0}^{\mathrm{LW}} = -2\left(\sqrt{\gamma_s^{\mathrm{LW}}} - \sqrt{\gamma_1^{\mathrm{LW}}}\right) \left(\sqrt{\gamma_m^{\mathrm{LW}}} - \sqrt{\gamma_1^{\mathrm{LW}}}\right) \tag{2}$$

$$\Delta G_{\gamma_0}^{AB} = 2 \Big[ \Big( \sqrt{\gamma_m^*} \cdot \sqrt{\gamma_s^*} \Big) \Big( \sqrt{\gamma_m^*} \cdot \sqrt{\gamma_s^*} \Big) - \Big( \sqrt{\gamma_m^*} \cdot \sqrt{\gamma_1^*} \Big) \Big( \sqrt{\gamma_m^*} - \sqrt{\gamma_s^*} \Big) - \Big( \sqrt{\gamma_s^*} \cdot \sqrt{\gamma_1^*} \Big) \Big( \sqrt{\gamma_s^*} - \sqrt{\gamma_1^*} \Big) \Big]$$
(3)

$$\Delta \mathbf{G}_{\gamma_{0}}^{\mathrm{EL}} = \frac{\varepsilon_{0}\varepsilon_{\mathrm{r}}\kappa}{2} \left(\zeta_{\mathrm{s}}^{2} + \zeta_{\mathrm{m}}^{2}\right) \times \left(1 - \mathrm{coth}\left(\kappa\gamma_{0}\right) + \frac{2\zeta_{\mathrm{s}}\zeta_{\mathrm{m}}}{\zeta_{\mathrm{s}}^{2} + \zeta_{\mathrm{m}}^{2}} \mathrm{csch}\left(\kappa\mathbf{y}_{0}\right)\right) \quad (4)$$

where,

(I)  $\gamma_s^{LW}$ ,  $\gamma_l^{LW}$  and  $\gamma_m^{LW}$  represents the surface tension components of a solid surface (s), three probe liquid (l) and microorganism (m) respectively,

- (ii)  $\gamma^{+}$  and  $\gamma^{-}$  represent the electron-accepting and electron-donating parameters of each surface tension component ( $\gamma_{s}^{LW}, \gamma_{l}^{LW}$  and  $\gamma_{m}^{LW}$ ) and
- (iii)  $\epsilon_0$  (=8.854×10<sup>-12</sup> CV<sup>-1</sup>m<sup>-1</sup>) and  $\epsilon_r$  (=79) are dielectric permittivities of a vacuum and water, respectively,  $\kappa$  (=3.28×10<sup>9</sup> I<sup>1</sup>/<sup>2</sup> m<sup>-1</sup>, where I is the ionic strength of the electrolyte in terms of molarity) the inverse Debye screening length, and  $\zeta$ s and  $\zeta$ m the surface potentials of the solid surface and microorganism respectively.

In the case of flat-spherical surfaces, interacting at minimum equilibrium cut-off distance (h), the total interaction energy  $(U^{TOT})$  profile is calculated as per Derjaguin's approximation and expressed as:

$$U_{h}^{TOT} = U_{h}^{LW} + U_{h}^{AB} + U_{h}^{EL}$$

$$\tag{5}$$

 $U_{h}^{LW} = LW$  component of interaction energy

where,

$$= 2\pi \Delta G_{\gamma_0}^{LW} \frac{\gamma_0^2 a_p}{h}$$
(6)

$$U_{h}^{AB} = AB \text{ component of interaction energy}$$
$$= 2\pi a_{p} \lambda \Delta G_{\gamma_{0}}^{AB} e^{[\gamma_{0} - h\lambda]}$$
(7)

$$U_{h}^{EL} = EL \text{ component of interaction energy}$$
$$= \pi \varepsilon_{r} \varepsilon_{0} a_{p} \left[ 2\zeta_{s} \zeta_{m} \ln \left( \frac{1 + e^{\pi t h}}{1 - e^{\pi t h}} \right) + \left( \zeta_{s}^{2} + \zeta_{m}^{2} \right) \ln \left( 1 - e^{-2t h} \right) \right]$$
(8)

ap represents radius of the cell.

In general, calculation of total surface tension of a pure substance is expressed as the sum of a LW and AB components as suggested by van Oss *et al* (1986). The equation is given as:

$$\gamma^{\text{TOT}} = \gamma^{\text{LW}} + \gamma^{\text{AB}} \tag{9}$$

For each component, the expression is given as,

$$\gamma^{AB} \text{ or } \gamma^{LW} = 2\sqrt{\gamma^+ \gamma^-} \tag{10}$$

Again, for a solid surface or a microorganism under study,  $\gamma^{AB}$  or  $\gamma^{LW can}$  be calculated by putting the contact angle data of a three probe liquid (water, diiodomethane and ethylene glycol) in the extended Young equation which is expressed as:

$$(1+\cos\theta)\gamma_1 = 2\left(\sqrt{\gamma^{LW}\gamma_1^{LW}} + \sqrt{\gamma^+\gamma_1^-} + \sqrt{\gamma^-\gamma_1^+}\right) (11)$$

Where,  $\theta$  = Measured Contact angle.

For many microorganisms used in BR based production, predictive utility of XDLVO theory was found to be precise than the DLVO theory. Experimentation done on the adhesion behavior of bacteria and *S.cerevisiae* onto different treated surfaces confirmed the involvement of factors of XDLVO origin (Bayoudha et al., 2009; Kang and Choi,

2005). Although such studies are confined to specific microbial strains, the concept is also applicable to the unexplored ones.

PCS has been shown to be an excellent solid support material for many BR based bio-products. Compatibility of the used microbial strains to PCS resulted in manifold increase of productivity. Both quantitative (measurement of contact angle) and qualitative (scanning electron microscope based topological studies) data supporting the adhesion and biofilm formation respectively, have been included in those studies (Ho et al., 1997). However, mere consideration of surface hydrophobicity/hydrophilicity on the basis of contact angle measurement can only support DLVO theory. Surface manipulations (such as, blending, activation etc.) for better adhesion of microorganisms must be supported as all the criterions come under the XDLVO approach. Constant solution chemistry (culture media and other ingredients) will be a pre-requirement in obtaining precise data for XDLVO consideration. XDLVO approach combines the thermodynamic approach and DLVO theory to explain the experimental results of microbial adhesions (Katsikogianni and Missirlis, 2004). To overcome the limitation of broad application of a solid support, XDLVO can be exploited as a promising model for the prediction of physic-chemical interactions between solid support surface and microbes. In addition, chemical composition of a solid support is a deciding factor for the adhesions of microorganisms. Polymers of different molecular weights, lengths and molecular structures (isomers) might respond differently to a microorganism. If it is assumed that, agricultural waste products (AWP) and the plastic support present in PCS chemically inert to each other, the accessibility of AWP to a microorganism can still be sterically hindered by the orientation of the monomer chains constituting the plastic support. This concept is applicable to any novel solid support to be developed considering PCS as a model substratum. More investigation on the molecular interactions between a nutrient cum solid support material and microorganisms can reveal the governing factors for a better adaptability of microorganisms to be used for different bioproducts in BRs.

As opposed to the current tendency of random search, applications of biomaterials research findings and nanotechnology concepts in the direction of prospective design or selection of a novel solid support can give predictive outcome. Cellulose acetate (CLA), the photodegradable but not biodegradable and renewable biomaterial can be a good option as solid support and biofilm carrier (Hon, 1977). CLA has already found wider applications in biomaterials and tis sue engineering (BMTE) field as it can mimic the topology of an extracellular matrix (Han and Gouma, 2006). Evaluation of CLA (sourced from cigarette waste filter rods) as a biofilm carrier in an integrated fixed film activated sludge (IFAS) process was very encouraging (Sabzali et al., 2011). Compared to the activated sludge (AS), the CLA integrated IFAS performed better in terms of the removal efficiencies of COD, ammonia and phosphorus. Being a renewable (mainly sourced from wood pulp) and cheap material, CLA has the scope of more applications in BRs technology as solid support for biofilm formation and biofilm carrier. Application of nanotechnology in designing more efficient solid support for biofilm formation can also be vital. Electrospining (a fabrication method used to form complex, porous, 3D structures with specific design in terms of geometry, morphology or topography in a single-step process) of solid support in its soluble form into nanosheets of desired porosity, thickness and surface area can give a better form of solid support for microbial adherence. Application of nanofibers (polyethylene + polyurethane) as a carrier of the biofilm of bacterial strain Rhodococcus erythropolis for wastewater treatment in a MBBR, found to be better than the commercially available AnoxKaldnes (type K3) carriers (Kriklavova and Lederer, 2010). Growth of the bacterial biofilm within the nanofibers not only facilitated more protection for the bacteria against the toxic effects of the surrounding environment of wastewater, but was also able to provide substrate and oxygen to the microorganisms in sufficient amount. Thus, it is obvious that application of biomaterials and nanotechnology concepts in the customization of solid supports can have serious impacts. However, in-depth studies are required to make these novel concepts fruitful and also an integral part of BRs technology.

8.2. Water structure, solid surface and microbial response Water structure (three-dimensional hydrogen-bonded network) associated with 'hydrophobic' and 'hydrophilic' solid surfaces are different as given in Fig 3. This property of water is attributed to the strong nature of self- association of its molecules. In a polar solvent system, such as water, molecular association is dependent on the acid-base interactions taking place between molecules in solution or between solution-phase molecules and a solid surface. Lewis acid and base is required for this polar environment which has a direct effect on the polar interactions among the molecules involved, thus influencing the interfacial phenomena. Another important aspect of water-solid surface interactions is the analytical measurement of hydrophobicity. Techniques that directly probe water structure rather than those that simply respond to water structure, such as contact angle and wettability, should be more preferred. Measurement of surface forces with surface force apparatus (SFA) and ancillary techniques are one such approach to quantifying hydrophobicity. Apart from the water structure and hydrophobicity that influence the water-solid support interactions, another major factor which contributes to the role of water in biological response (microbial surfaces) to materials (solid support) is the measurement of 'water wettability' in terms of 'adhesion tension' (denoted as  $\tau^{0}$ ), rather than surface energy  $(\gamma_s)$  or interfacial tension  $(\gamma_0)$ components that are found to be distantly related to water wettability (Vogler, 1998).

Water adhesion tension  $(\tau^{\circ})$  can be derived from the known value of water interfacial tension  $(\gamma^{\circ})$  and measurement of contact angle  $(\theta)$ . The expression is given as:

Where,

$$\tau^0 = \gamma^0 \cos \theta \tag{12}$$

 $\tau^0$  = Water Adhesion Tension (dyne/cm)  $\gamma^\circ$  = Water Interfacial Tension (= 72.8 dyne/cm for pure water)

 $\theta$  = Measured Contact Angle

# 8.3. Berg Limit

The concept of 'Berg Limit' can precisely be applied in the measurement of surface forces (attractive or hydrophobic/repulsive or hydration) acting on a solid surface immersed in water. Berg et al suggested for a 'threshold' value of contact angle ( $\theta = 65^{\circ}$ ) for separating the zone of hydrophobicity and hydrophilicity of solid surfaces immersed in water (Berg et al., 1994). This contact angle value can be exploited to determine the 'threshold' value of  $\tau^{\circ}$  for predicting the water wettability properties of different solid surfaces.

From equation (12), At 'Berg limit'  $\theta = 65^{\circ}$ 

 $\tau^{\circ} = 72.8 \text{ X cos } 65^{\circ}$ = 72.8 X 0.4226 = 30.76 dyne/cm

Thus, according to 'Berg Limit' concept

- (1) For hydrophobic surfaces,  $t^{\circ} < 30 \text{ dyn/cm}$ ,  $\theta < 65^{\circ}$  and
- (2) For hydrophilic sufaces,  $t^{\circ} > 30 \text{ dyn/cm}, \theta > 65^{\circ}$ .

# 8.4. Primary & secondary minima of adhesion

Adhesion of microorganisms to different substrata under high flow velocity can either be reversible (partial or complete detachment) or irreversible (zero or negligible detachment). Due to the heterogeneity in the surface properties of different microorganisms and substrata, the adhesion energy profiles  $(U_h^{TOT})$  of interactions at different contact points differ. The high adhesion energy profile causing the strong attraction at some contact points are called as "Primary Energy Minima", and those contact points where the adhesion energy profile is relatively weak are known as "Secondary Energy Minima" (Kang and Choi, 2005). Reversibility or irreversibility of microbial - substrata interactions is a net outcome of the relative abundances of primary or secondary energy minima, which in turn is highly susceptible to surface properties of microorganisms or substrata itself. Experiment on the detachment of microbial cells from a substratum surface is vital as the product features will be highly affected by too much reversibility nature of the adhesion energy profile. Thus, while adopting a novel solid support material for BR, test of reversibility seems to be mandatory for a proper scientific evaluation of applicability of a substratum at commercial scale. Favouring of hydrophobic or hydrophilic surfaces for biofilm development by different microorganisms and sustainability of irreversible condition (no detachment) in a long time running set up of a BR are the mere consequences of adhesion energy minima profiles of the interactions. Reports on the successful application of a novel substratum for BR based productions are not inclusive of adhesion energy minima concept. Most of the findings are based on the measurement of contact angles and specifically designed for a particular strain of microorganism. This area of BR technology needs further exploration to make the application of a substratum even broader.

# 8.5. Entropy of Mixing

Mixing of two materials change the thermodynamic property called "entropy of mixing" even though they are chemically non-reacting. The entropy of mixing provides information about differences of intermolecular forces or specific molecular effects in the materials. Though not considered as a common factor in BR technology, analysis of entropy of mixing can be relevant to designing of composite solid supports like PCS. This thermodynamic property can have a predictive value in fixing the ratios of blending materials (organic or inorganic) in the development of a novel and better performing composite substratum to be applied in BRs. In a recently published report, it has been shown that even the method (ethylene oxide or gas plasma) adopted for surface sterilization of a substratum can have huge impact on the adherence level of bacteria (Kinnari et al., 2010). Analysis of entropy of mixing for different ratios of ingredients can help in knowing (a) any chemical interactions in-between the ingredients and (b) thermodynamic impact of each ingredient in the overall performance of a composite substratum. In the near future also, researcher might develop interest in designing a novel composite solid support, more efficient than PCS at the expense of even more cheaper waste materials from agricultural or other sources. In such an approach, it might be possible to select the ingredients in a more easy but accurate way by employing the concept of entropy of mixing.

#### **Concluding remarks**

The effort for utilizing the natural phenomenon of "biofilm formation" by microbes in the benefit of human has been well manifested in the form of BR technology. The systematic approach made towards the development of a novel BRs resulted in multiple impacts on the production level of different bioproducts. The key factors controlling the performance of biofilm can now be regulated at molecular level. However, when explored from the lab to commercial scale translation level, the present scenario of BR technology is not satisfactory except one or two bioproducts. The contemporary efforts made for enhanced productivity, utilization of waste materials as sources of carbon and energy, designing of composite solid supports and customization of BRs, in a collective manner has not been able to put the BR technology in an easily scalable platform by adopting common features. The divergences arises due to the application of bioproduct or microorganism or BR engineering aspect specific elements (solid support, culture condition, microbial strains and BR hydraulics) and they have restricted the scope from further scaling. Thus, a unified concept on the development of a substratum for a particular



Fig 1: The concept of BR technology and its development.



Fig 2: Schematic outline of different steps of biofilm formation mechanism.



Fig 3: Summary of the surface properties of microorganism and water molecule involved during formation of a biofilm on a substratum.

bioproduct (single or multiple microorganisms specific) will be a preferred approach. The material chosen as a substratum alone or component for composite support designing must be subjected to the analysis for some quantified parameters (XDLVO analysis for adhesion energy, energy minima, Berg limit and entropy of mixing) before approval for common application in BR technology. In a positive sense, PCS can be foreseen as a substratum for broad applications, but more technical rectifications are required before approving it as a default choice in BR technology. Extensive molecular level





Fig 4: Schematic outline of the overall concept of the present review.

research in the direction of developing chimeras capable of adopting to the substratum of default use can make BR technology more converging in the aspect of prevailing great diversification due to the orthodox 'bioproductmicroorganism-substratum-BR type' working principles. The underexplored research areas of the substratum concerned, highlighted in this review article, in a straight forward way have practical impacts on the overall performance of BRs. Along with the customization of engineering aspects of BRs; proper exploration of the substratum associated issues mentioned in the present context can be fruitful in making BR technology more productive and uniform.

# **Declaration of competing interest**

The author declares that he has no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

# Abbreviations

BR, biofilm reactor; RBC, rotating biological contactors; COD, chemical oxygen demand; BOD, biological oxygen demand; BFB, biofilm fluidized bed; TBR, trickling bed reactor; PBR, packed bed reactor; FBR, fluidized bed reactor; ALR, airlift reactor, MBR, membrane bed reactor; RDC, rotating disc contactor; MABR, membrane aerated biofilm reactor; SFBR, slug flow biofilm reactor; AP, aqueous phase; OP, organic phase; SMABR, solid support membraneaerated biofilm reactor; PCSBR, plastic composite support biofilm reactor; RDBR, rotating disc biofilm reactor; EABR, electro-active biofilm reactor; CSTR, continuous stirred tank reactor; PCS, plastic composite support; PUF, polyurethane foam; IFBBR, inverse fluidized bed bioreactor; CPBR centrifugal packed-bed reactor; OA, organic acids; LA, lactic acid; AA, acetic acid; CA, citric acid; FA, fumaric acid; SA, succinic acid; MAbs, monoclonal antibodies; FDA, food and drug administration; DNA, deoxyribonucleic acid; Lip, lignin peroxidase; MnP, manganese peroxidase; XDLVO, Derjaguin, Landau, Verwey, Overbeek; LW, Lifshitz van der Waals; EL, electrostatic double layer; ZB, Lewis acid-base;

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SFA, surface force apparatus; CLA, cellulose acetate; RSM, response surface methodology.

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